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Express Mail Label No.: EL668460341US

**NEW UTILITY PATENT APPLICATION  
TRANSMITTAL  
(Large Entity)**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Docket No. S63.2-9494

Total Pages in this Submission  
(including checks and postcard)

31

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled: HYBRID SLEEVE MATERIAL AND STRUCTURE

and invented by:

JOHN J. CHEN and DANIEL J. HORN

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/668496

Enclosed (in addition to the 4 pages of this transmittal) are:

4 pages

**Application Elements**

1. ☒ Filing fee as calculated below:

a. ☐ filing fee is NOT ENCLOSED - fee will be paid at the time of responding to the Notice of Missing Parts -- DO NOT CHARGE DEPOSIT ACCOUNT

b. ☒ a check in the amount of \$710.00 to cover the filing fee is enclosed. 1 pages

c. ☐ charge to Deposit Account as authorized at Item 2(a) on next page.

**FEE CALCULATION AND CLAIMS**

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	12	- 20 =		x \$18.00	\$
Indep. Claims	3	- 3 =		x \$80.00	\$
BASIC FEE					\$710.00
TOTAL FILING FEE					\$710.00

continued on next page.....

<p align="center"><b>NEW UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)</b></p> <p align="center"><i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i></p>	Docket No.S63.2-9494
	<p align="center">Total Pages in this Submission <i>(including checks and postcard)</i></p> <p align="center"><u>31</u></p>

2. The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0350 as described below. A duplicate copy of this sheet is enclosed.

- a. ☐ Charge the amount of \$\_\_\_ as filing fee.
- b. ☒ Credit any overpayment.
- c. ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- d. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

3. ☒ Specification having 13 pages and including the following: 13 pages

- a. ☒ Application Cover Sheet - 1 page
- b. ☒ Descriptive Title of the Invention -
- c. ☒ Cross References to Related Applications *(if applicable)*
- d. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
- e. ☐ Reference to Microfiche Appendix *(if applicable)*
- f. ☒ Background of the Invention
- g. ☒ Brief Summary of the Invention
- h. ☒ Brief Description of the Drawings *(if applicable)*
- i. ☒ Detailed Description
- j. ☒ Claim(s) as Classified Below - 2 pages
- k. ☒ Abstract of the Disclosure - 1 page

4. ☒ Drawing(s) *(when necessary as prescribed by 35 U.S.C. 113)* 4 sheets 4 pages

5. ☒ Oath or Declaration - 2 pages

- a. ☐ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d)) *(for continuation/divisional application only)*

6. ☒ Separate Power of Attorney 1 pages

- ☐ 37 C.F.R. 3.73(B) Statement *(when there is an assignee and power of attorney is from assignee)*. It is hereby certified that the undersigned has authority to make this certification and has reviewed all the documents in the chain of title of the patent application identified herein and, to the best of undersigned's knowledge and belief, title is in the assignee identified in the accompanying Power of Attorney.

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☐ Power of Attorney filed in parent application.

7. ☐ Incorporation by Reference *(usable if Box 5b is checked)*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

8. ☐ Computer Program in Microfiche *(Appendix)*

\_\_\_ pages

9. ☐ Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all must be included)*

\_\_\_ pages

a. ☐ Paper Copy

b. ☐ Computer Readable Copy *(identical to computer copy)*

c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

10. ☒ Assignment Papers:

\_\_3\_\_ pages

a. ☒ Assignment Recordation Cover Sheet (Form PTO 1595)

b. ☒ Assignment

c. ☒ A check in the amount of \$ 40.00 to cover the Recordal Fee

d. ☐ Previously recorded on \*\*\*, Reel \*\*, Frames \*\*

11. ☐ English Translation Document *(if applicable)*

\_\_\_ pages

12. ☐ Information Disclosure Statement:

\_\_\_ pages

a. ☐ PTO Form 1449    b. ☐ Copies of IDS Citations

13. ☐ Preliminary Amendment

pages

14. ☒ Acknowledgement Postcard

1 page

15. ☒ Form of Mailing - Express Mail *(Specify Label No.):* EL668460341US

16. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

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17. ☒ Additional Enclosures *(please identify below):*

☒ Constructive Petition for Extension of Time and Fee Authorization Pursuant to 37 C.F.R.

§1.136(a)(3) - 1 page

☒ Correspondence Address form - 1 page

☐

2 pages

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: November 20, 2000

By:



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DOCKET NO. S63.2-9494

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
APPLICATION FOR UNITED STATES LETTERS PATENT**

**INVENTORS:** John J. Chen and Daniel J. Horn

**TITLE:** HYBRID SLEEVE MATERIAL AND STRUCTURE

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## TITLE

Hybrid Sleeve Material and Structure

## CROSS-REFERENCE TO RELATED APPLICATIONS

5                    This application is a Continuation-In-Part application from US  
Application No. 09/668,496, filed September 22, 2000, the entire contents of which is  
hereby incorporated by reference.

## STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH

10                   Not Applicable

## BACKGROUND OF THE INVENTION

### Field of The Invention

15                   This invention relates to medical device delivery catheters in general, and  
specifically to balloon catheters for use in delivering a medical device such as a stent to a  
desired body location, such as in a blood vessel. More specifically, this invention relates  
to socks or sleeves used in retaining the stent in the unexpanded state which have  
reduced frictional engagement with the ends of a stent and/or balloon cones. In the  
present invention such reduced frictional interaction is made possible by providing the  
20 sleeve or sleeves with an inside surface of which at least a portion is characterized as  
being harder than the outside surface.

### Description Of The Related Art:

25                   Stents and stent delivery assemblies are utilized in a number of medical  
procedures and situations, and as such their structure and function are well known. A  
stent is a generally cylindrical prosthesis introduced via a catheter into a lumen of a body  
vessel in a configuration having a generally reduced diameter and then expanded to the  
diameter of the vessel. In its expanded configuration, the stent supports and reinforces  
the vessel walls while maintaining the vessel in an open, unobstructed condition.

30                   Both self-expanding and inflation expandable stents are well known and  
widely available in a variety of designs and configurations. Self-expanding stents must

be maintained under positive external pressure in order to maintain their reduced diameter configuration during delivery of the stent to its deployment site. Inflation expandable stents may be crimped to their reduced diameter about the delivery catheter, maneuvered to the deployment site, and expanded to the vessel diameter by fluid inflation of a balloon positioned on the delivery catheter. The present invention is particularly concerned with delivery and deployment of inflation expandable stents, although it is generally applicable to self-expanding stents when used with balloon catheters.

In advancing an inflation expandable stent through a body vessel to the deployment site, there are a number of important considerations. The stent must be able to securely maintain its axial position on the delivery catheter, without translocating proximally or distally, and especially without becoming separated from the catheter. The stent, particularly its distal and proximal ends, must be protected to prevent distortion of the stent and to prevent abrasion and/or reduce trauma of the vessel walls.

Inflation expandable stent delivery and deployment assemblies are known which utilize restraining means that overlie the stent during delivery. U.S. Patent No. 4,950,227 to Savin et al, relates to an expandable stent delivery system in which a sleeve overlaps the distal or proximal margin (or both) of the stent during delivery. That patent discloses a stent delivery system in which a catheter carries, on its distal end portion, a stent which is held in place around the catheter prior to and during percutaneous delivery by means of one and preferably two sleeves. The sleeves are positioned around the catheter with one end portion attached thereto and overlap an end portion(s) of the stent to hold it in place on the catheter in a contracted condition. Each sleeve is elastomeric in nature so as to stretch and release the stent when it expands for implantation. The stent is expandable by means of the expandable balloon on the catheter. During expansion of the stent at the deployment site, the stent margins are freed of the protective sleeve(s). U.S. Patent 5,403,341 to Solar, relates to a stent delivery and deployment assembly which uses retaining sheaths positioned about opposite ends of the compressed stent. The retaining sheaths of Solar are adapted to tear under pressure as the stent is radially expanded, thus releasing the stent from engagement with the sheaths. U.S. Patent No. 5,108,416 to Ryan et al., describes a stent introducer system which uses one or two

flexible end caps and an annular socket surrounding the balloon to position the stent during introduction to the deployment site.

Copending U.S. Patent Application No. 09/407,836 which was filed on September 28, 1999 and entitled *Stent Securement Sleeves and Optional Coatings and Methods of Use*, and which is incorporated in its entirety herein by reference, also provides for a stent delivery system having sleeves. In 09/407,836 the sleeves may be made up of a combination of polytetrafluoroethylene (PTFE) as well as one or more thermoplastic elastomers. Other references exist which disclose a variety of stent retaining sleeves.

10 A common problem which occurs in catheter assemblies is friction or adhesion between various parts which periodically come into contact with one another during the medical procedure. For instance, friction can occur between the guide catheter and guide wire, between the introducer sheath and the guide catheter, or between the guide catheter and the balloon catheter, for instance, and may increase the difficulty of  
15 insertion, cause loss of catheter placement, and result in discomfort to the patient or damage to the vasculature. In catheters equipped with stent retaining socks or sleeves, friction between the balloon and sleeve, and/or the stent and sleeve may also cause retraction of the sleeves to be made more difficult. In stent delivery systems where the stent employs a relatively soft coating material on its surface, such as a drug carrier, the  
20 relatively soft coating may increase its friction to the sock or sleeve system. An example of which may be seen in U.S. Patent No. 5,693,085 to Buirge et al., the entire contents of which is incorporated herein by reference.

It is therefore desirable to reduce the friction due to the sliding between the various parts of the catheter assemblies. Copending U.S. Application No. 09/549,286  
25 which was filed April 14, 2000 describes a reduced columnar strength stent retaining sleeve having a plurality of holes. The relatively reduced columnar and radial strength provided by the holes allows the sleeve to be retracted off of a stent without the need for lubricant.

Lubricants however may be used in a variety of stent delivery catheters.  
30 Many lubricants and lubricious coatings types have been used in conjunction with balloon catheters. Both hydrophilic and hydrophobic coatings and lubricants are well



known in the catheter art. For example: copending U.S. Patent Application No. 09/407,836 which was filed on September 28, 1999 and entitled *Stent Securement Sleeves and Optional Coatings and Methods of Use*, provides for a stent delivery system having sleeves. In 09/407,836 the sleeves may be made up of a combination of

5 polytetrafluoroethylene (hereinafter PTFE) as well as one or more thermoplastic elastomers. Copending U.S. Patent Application No. 09/427,805 filed October 27, 1999, and entitled *End Sleeve Coating for Stent Delivery*, describes the use of stent retaining sleeves having lubricious coatings applied thereto.

Copending U.S. Patent Application No. 09/273,520 filed March 22, 1999,

10 entitled *Lubricated Sleeve Material For Stent Delivery* likewise describes the use of stent retaining sleeves and lubricants.

Stent delivery systems which may not require the use of lubricants have been proposed, such as copending U.S. Application No. 09/549,286 mentioned above. Another example of a stent delivery system and retaining sleeve which may not require

15 lubrication is Copending application 09/668,496 filed September 22, 2000 and entitled *Striped Sleeve For Stent Delivery* describes a two component sleeve having one or more substantially longitudinally oriented stripe of a hard material and a softer material. The striped configuration of materials in the sleeve allows the sleeve to radially expand but with limited or no longitudinal expansion. The unique expansion characteristics provided

20 by the striped configuration helps avoid a need to use a lubricant with the sleeve, though a lubricant may still be utilized therewith if desired.

The entire content of all patents and applications listed within the present patent application are incorporated herein by reference.

## 25 BRIEF SUMMARY OF THE INVENTION

The instant invention is directed to a medical device delivery system comprising a catheter assembly having a medical device receiving region and at least one retaining sleeve for retaining the medical device on the receiving region prior to delivery. An expandable medical device, such as a stent, is disposed about the medical device

30 receiving region of the catheter assembly. At least

one retaining sleeve is disposed about an end of the expandable medical device and at least a portion of the catheter assembly.

The at least one retaining sleeve further comprises an inside surface and an outside surface. The outside surface being comprised of a first material and at least  
5 the portion of the inside surface which is constructed to overlay a stent being comprised of a second material. The first and second materials having different harnesses, the second material being harder than the first. As is known, for most polymer materials, the hardness represents the capacity of elongation when the polymer is exposed to an outside acting force, this is especially true for elastomeric materials (e.g. the lower a material's  
10 hardness the higher the material's elasticity).

Unlike the 09/668,496 application, from which the present application depends, and which provides for a sleeve having reduced longitudinal elongation, the present invention improves sleeve retractability by providing at least the portion of the inside surface of the sleeve which may overlay a stent with a material which has a greater  
15 hardness than the majority of the sleeve material. Such a relatively hard material preferably provides the sleeve with a surface having lower frictional engagement to the stent.

In an embodiment of the invention the first material and second material are co-extruded polymers.

20 In an embodiment of the invention the second material is a coating on the first material.

In an embodiment of the invention the inside surface is comprised entirely of the second material.

In an embodiment of the invention only the portion of the inside surface  
25 which is constructed and arranged to overlay a stent is comprised of the harder material.

## BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

A detailed description of the invention is hereafter described with specific reference being made to the drawings in which:

FIG. 1 is a side view of a first embodiment of the invention;

5 FIG. 2 is a side view of a second embodiment of the invention;

FIG. 3 is a side view of a third embodiment of the invention; and

FIG. 4 is a side view of a forth embodiment of the invention.

## DETAILED DESCRIPTION OF THE INVENTION

10 As may be seen in FIG. 1, the present invention may be embodied in a stent delivery catheter, indicated generally at 10. Catheter 10, includes a stent mounting region 12, the stent mounting region 12 may be an inflatable portion of the catheter or may be a separate balloon mounted to the catheter shaft 14. The balloon 12 may have an unexpanded state and an expanded state. A stent 16, disposed about the stent mounting  
15 region 12 may be delivered when the balloon 12 is expanded to the expanded state.

The stent 16 includes a proximal end 18 and a distal end 20. In the embodiment shown a stent retaining sleeve 22 overlies at least a portion of each end 18 and 20. As is known in the art, when the balloon 12 and stent 16 are expanded to their expanded state, the ends of the stent retaining sleeves 22 are configured to retract off of  
20 the stent ends 18 and 20. In the present invention, the sleeves 22 have a unique construction which provides the first portion 24 of the sleeve which overlies the stent 16, with a reduced frictional engagement with the stent 16 by providing the inside surface  
100 of the first portion 24 with a material 34 which is harder than that of the outer surface 102 of the sleeve 22.

25 The second portion 26 of the sleeve 22 is disposed about and is engaged to a portion of the catheter shaft 14 adjacent to the balloon 12.

As stent 16 is expanded, the stent ends 18 and 20 will eventually be drawn from underneath the stent retaining sleeves 22. By providing a sleeve 22 which has a reduced frictional engagement with the stent ends 18 and 20 the present invention  
30 ensures that the stent is delivered with improved sleeve retractability.

As previously indicated, the sleeves 22 are constructed from at least two

materials having different hardness characteristics. The first material 30 is formed into a generally tubular body 32 which provides the sleeve with its shape as well as its outer surface 102. At least a portion of the first end 24 of the inside surface 100 is composed of the second material 34.

5           The first material 30 may be any elastic material known which has a hardness as measured by a Shore durometer of less than 55D. Preferably the durometer hardness of the first material is between 40A and 100A. The second material 34 may be any material having a durometer hardness greater than about 55D. In at least one embodiment of the invention the first material 30 has a hardness of 35D and the second  
10 material 34 has a hardness of 70D.

          The first material 30 may be selected from one or more of the following substances: soft grade polyester/polyether elastomers such as Arnitel™ available from DSM Engineering, polyurethane-polyether polymers, such as Tecothane™ 1074A available from Thermedics, Inc.; polyester-polyurethanes, such as Pellethane™ 2102-  
15 75A sold by Dow Chemical; polyester-polyurethanes, such as Estane™ 5703P sold by BF Goodrich; polyether block amides, such as Pebax™ 2533 available from Elf Atochem; and styrene-butadiene-styrene triblock copolymers such as Kraton™ D1101 sold by Shell Chemical company. Other materials which may also be used in the production of the first material 30 include, but are not limited to styrenic block  
20 copolymers, polyurethanes, silicone rubber, natural rubber, copolyesters, polyamides, EPDM rubber/polyolefin, nitril rubber/PVC, fluoroelastomers, butyl rubber, epichlorohydrin, soft block copolymers, and any combinations thereof.

          The second material 34 may be selected from one or more of the following substances: polyethyleneterephthalate (PET), polybutylene terephthalate  
25 (PBT), polytrimethylene terephthalate (PTT), Nylon™, engineering thermoplastic polyurethanes, fluoropolymers, polyester/polyether elastomers such as Arnitel™ available from DSM Engineering, polyurethane-polyether polymers, such as Tecothane™ 1055D or 1075D both of which are available from Thermedics, Inc.; polyester-polyurethanes, such as Estane™ 58170 sold by BF Goodrich; polyether block  
30 amides, such as Pebax™ 7233 or 6333 both of which are available from Elf Atochem. Other materials which may also be used in the production of the second material 34

include, but are not limited to: polyolefins, polystyrene, polyvinyl chloride, acrylonitrile-butadiene-styrene polymers, polyacrylonitrile, polyacrylate, vinyl acetate polymer, cellulose plastics, polyurethanes, polyethylene terephthalate, polyacetal, polyethers, polycarbonates, polyamides, polyphenylene sulfide, polyarylethersulfones,  
5 polyaryletherketones, polytetrafluoroethylene, and any combinations thereof.

The above examples of the first and second materials 30 and 34 are in no way exhaustive of the potential substances or combinations of substances which may be used. The present invention is directed to a sleeve composed of any materials which have the hardness qualities previously described for the respective materials 30 and 34.

10 As may be seen in the various figures, the present invention may be embodied in a variety of manners. For instance, in the embodiment shown in FIG. 1 the catheter 10 is seen with a pair of sleeves 22 each of which have a first portion 24 with an inner surface 100 which is composed of a second material 34, such as is described above. Second material 34 may be a coating of hardened material applied to the inside surface  
15 100 of the sleeve 22. Alternatively, the material 34 may be bonded or welded to the sleeve 22, or first material 30 and second material 34 may have been co-extruded together in the form of sleeve 22 shown. Other methods for joining the materials 30 and 34, such as selective coating by printing, may also be utilized.

As may be seen in FIG. 2, the entire inside surface 100 of the sleeve(s) 22  
20 may be composed of the second material 34.

FIGs. 3 and 4 show the sleeve configurations respectfully described in relation to FIGs. 1 and 2 as they may be embodied on a sleeve 22 exclusive of the stent delivery catheter 10.

In alternative embodiments, notably those utilized specifically for delivery  
25 of a self expanding stent, a retractable sheath (not shown) such as are known in the art, may be employed to overlay the stent. In such embodiments a single sleeve or two sleeves such have been shown and described may be employed to retain the self-expanding stent in place. When the sheath is retracted the stent will expand causing the sleeve(s) to retract.

30 In addition to being directed to the embodiments described above and claimed below, the present invention is further directed to embodiments having different

combinations of the features described above and claimed below. As such, the invention is also directed to other embodiments having any other possible combination of the dependent features claimed below.

The above examples and disclosure are intended to be illustrative and not  
5 exhaustive. These examples and description will suggest many variations and alternatives to one of ordinary skill in this art. All these alternatives and variations are intended to be included within the scope of the attached claims. Those familiar with the art may recognize other equivalents to the specific embodiments described herein which equivalents are also intended to be encompassed by the claims attached hereto.

10

## CLAIMS

1. A stent delivery system comprising:
  - a catheter including a stent mounting region;
  - a stent disposed about the stent mounting region of the catheter, the stent having a
  - 5 distal end and a proximal end, the stent further having an unexpanded state and an
  - expanded state, and
  - at least one stent retaining sleeve, the at least one stent retaining sleeve having an
  - inside surface and an outside surface and a first end and a second end,
  - the first end overlying an end of the stent when the stent is in the
  - 10 unexpanded state, the second end engaged to at least a portion of the catheter adjacent to
  - the stent mounting region;
  - the outside surface being composed of a first material, at least a portion of
  - the first end of the inside surface being composed of a second material;
  - the first material having a first predetermined hardness, the second
  - 15 material having a second predetermined hardness, the second predetermined hardness
  - having a higher durometer value than the first predetermined hardness.
2. The stent delivery catheter of claim 1 wherein the second material is relatively
- smoother than the first material.
- 20
3. The stent delivery catheter of claim 1 where in the first predetermined hardness is
- less than approximately 55D, and the second predetermined hardness is least 55D.
4. The stent delivery catheter of claim 1 where in the first predetermined hardness
- 25 is approximately 35D, and the second predetermined hardness is approximately 55D.
5. The stent delivery catheter of claim 1 wherein the inside surface is comprised of
- the second material.
- 30
6. The stent delivery catheter of claim 1 wherein the first material and the second
- material are co-extruded.

7. The stent delivery catheter of claim 1 wherein the second material is a coating,  
the coating being applied to at least the first end of the inside surface of the at least one  
5 stent retaining sleeve.
8. The stent delivery system of claim 7 wherein the coating is selected from at least  
one member of the group consisting of: polyolefins, polystyrene, polyvinyl chloride,  
acrylonitrile-butadiene-styrene polymers, polyacrylonitrile, polyacrylate, vinyl acetate  
10 polymer, cellulose plastics, polyurethanes, polyethylene terephthalate, polyacetal,  
polyethers, polycarbonates, polyamides, polyphenylene sulfide, polyarylethersulfones,  
polyaryletherketones, polytetrafluoroethylene, and any combinations thereof.
9. The stent delivery system of claim 1 wherein the first material is constructed from  
15 at least one member of the group consisting of: styrenic block copolymers,  
polyurethanes, silicone rubber, natural rubber, copolyesters, polyamides, EPDM  
rubber/polyolefin, nitril rubber/PVC, fluoroelastomers, butyl rubber, epichlorohydrin,  
polyester elastomers, polyamide elastomers and any combinations thereof.
- 20 10. The stent delivery system of claim 1 wherein the second material is constructed  
from at least one member of the group consisting of: polyolefins, polystyrene, polyvinyl  
chloride, acrylonitrile-butadiene-styrene polymers, polyacrylonitrile, polyacrylate, vinyl  
acetate polymer, cellulose plastics, polyurethanes, polyethylene terephthalate, polyacetal,  
polyethers, polycarbonates, polyamides, polyphenylene sulfide, polyarylethersulfones,  
25 polyaryletherketones, polytetrafluoroethylene, and any combinations thereof.
11. A stent retaining sleeve for retaining stent ends on a balloon catheter comprising:  
a first material and a second material, wherein the first material has a first  
predetermined hardness and the second material has a second predetermined hardness,  
30 the second predetermined hardness being greater than the first predetermined hardness;  
the stent retaining sleeve having an inside surface and an outside surface,



and a first end and a second end, the inside surface of the first end constructed and arranged to overlay an end of a stent, the second end constructed and arranged to be in contact with at least a portion of a catheter;

at least a portion of the inside surface of the first end being composed of  
5 the second material.

12. A stent delivery system comprising:

a catheter including a stent mounting region;

a stent disposed about the stent mounting region of the catheter, the stent having a  
10 distal end and a proximal end, the stent further having an unexpanded state and an expanded state, and

at least one stent retaining sleeve, the at least one stent retaining sleeve having a first end and a second end, the first end overlying an end of the stent when the stent is in the unexpanded state, the second end engaged to at least a portion of the catheter adjacent  
15 to the stent mounting region;

the at least one sleeve having an inside surface and an outside surface, at least a portion of the inside surface characterized as being harder than the outside surface.

# HYBRID SLEEVE MATERIAL AND STRUCTURE

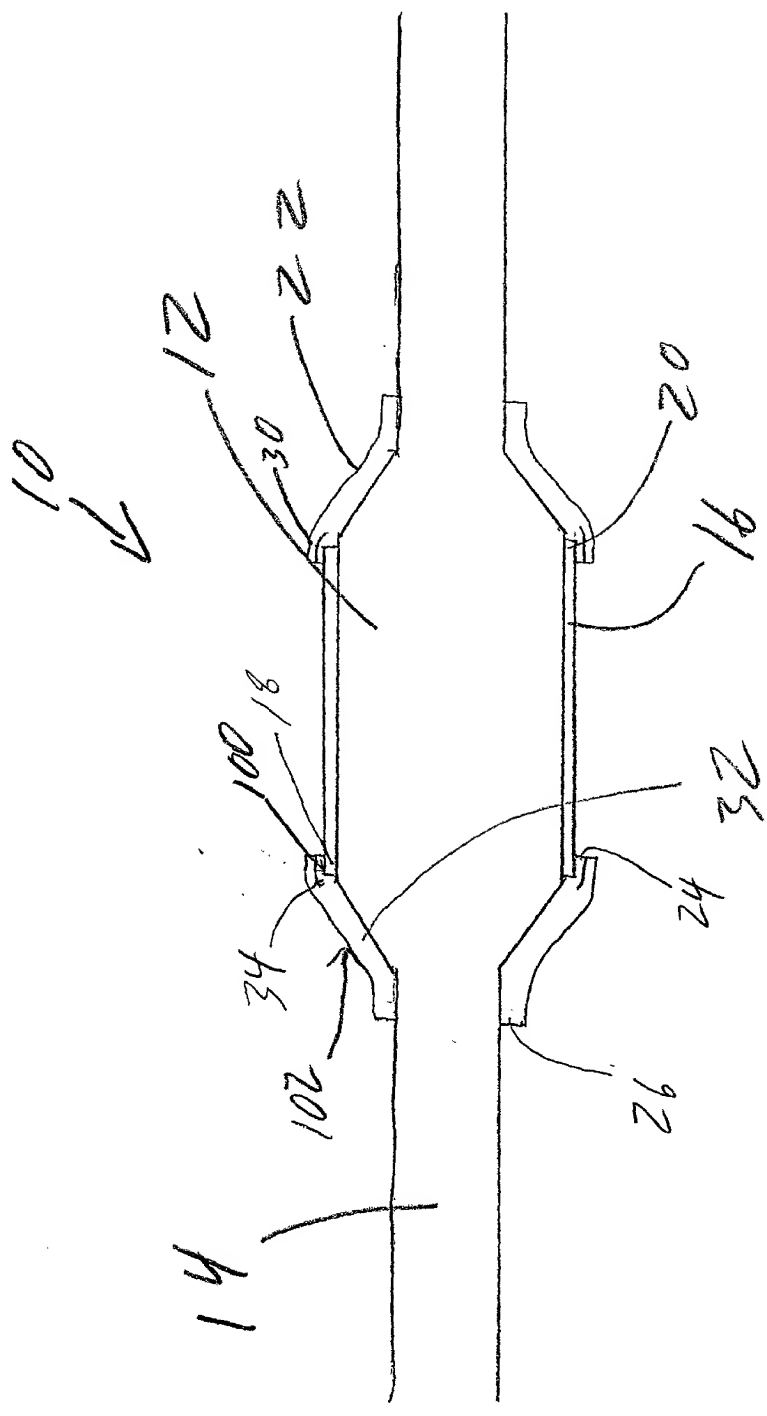
## ABSTRACT OF THE DISCLOSURE

A stent delivery system comprising a catheter including a stent mounting  
5 region. A stent disposed about the stent mounting region of the catheter, the stent having  
a distal end and a proximal end, the stent further having an unexpanded state and an  
expanded state. At least one stent retaining sleeve having a first end overlying an end of  
the stent when the stent is in the unexpanded state, a second end engaged to at least a  
portion of the catheter adjacent to the stent mounting region. The outside surface of the  
10 stent retaining sleeve being composed of a first material, at least a portion of the first end  
of the inside surface being composed of a second material. The first material having a  
first predetermined hardness, the second material having a second predetermined  
hardness, the second predetermined hardness having a higher durometer value than the  
first predetermined hardness.

Attorney Docket #S63.2-9494

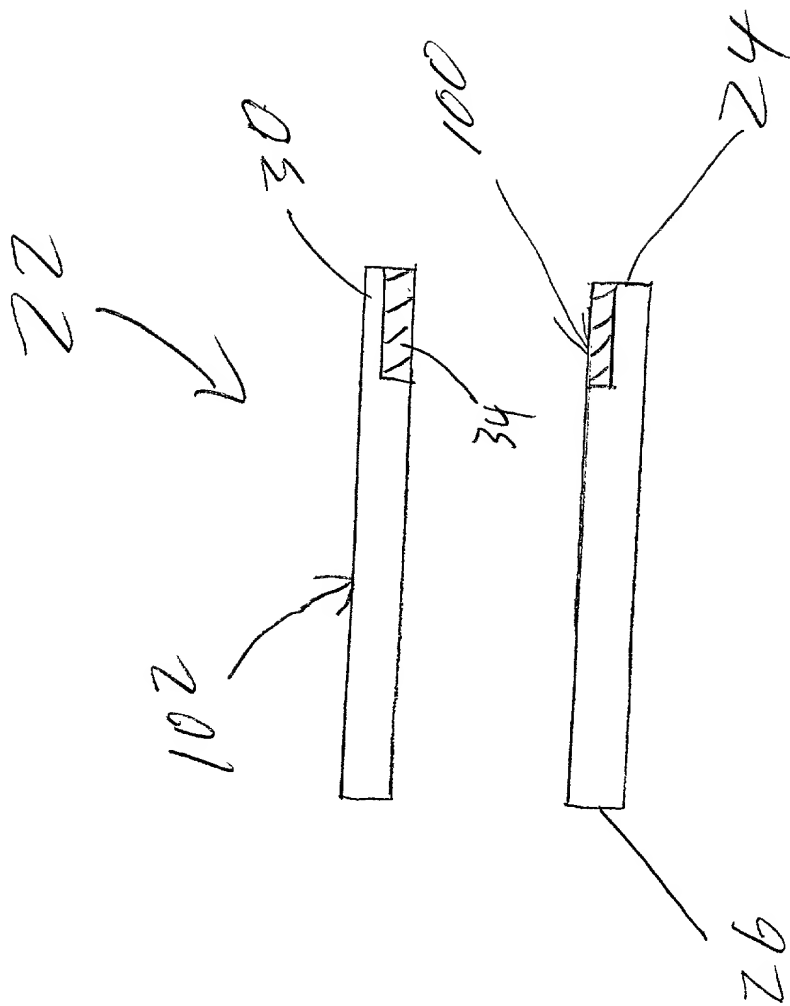
F16.3

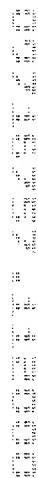
FIG. 16.3



File 2

Fig. 3



[illegible]

## UTILITY/DESIGN PATENT

Docket No. S63.2-9494

## DECLARATION

As a below-named inventor, I(we) hereby declare that:

## TYPE OF DECLARATION

This declaration is of the following type:

- ☒ original
- ☐ design
- ☐ supplemental
- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

## INVENTORSHIP DECLARATION

My residence, post office address, and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor *(if only one name is listed below)* or an original, first and joint inventor *(if plural names are listed below)* of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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**HYBRID SLEEVE MATERIAL AND STRUCTURE**

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the specification of which:

- a) ☒ is being filed concurrently herewith
- b) ☐ was filed on \_\_\_\_\_ and assigned Serial No. \_\_\_\_\_
- c) ☐ was filed as PCT International Application No. \_\_\_\_\_ filed on \_\_\_\_\_ and amended under PCT Article 19 on \_\_\_\_\_.

## ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56 including information occurring between the filing date of any prior application of which the present application is a continuation-in-part.

- ☐ In compliance with this duty there is attached an Information Disclosure Statement.

37 CFR 1.97.

## PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d), of any foreign application(s) for patent or inventor's certificate or of any PCT international applications(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international applications(s) designating at least one country other than the United States of America filed by me having the same subject matter having a filing date before that of the application on which priority is claimed.

- a) ☒ no such applications have been filed.  
 b) ☐ such applications have been filed as follows:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35 United States Code, §119(e) of any United States provisional application identified below.

- a) ☒ no such applications have been filed.  
 b) ☐ such applications have been filed as follows:

U.S. APPLICATIONS	
SERIAL NUMBER	U.S. FILING DATE
1.	
2.	

#### CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS(S) UNDER 35 U.S.C. §120

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) or PCT international applications(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior applications(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior applications(s) and the national or PCT international filing date of this application.

- a) ☐ no such applications have been filed.  
 b) ☒ such applications have been filed as follows:

U.S. APPLICATIONS	
SERIAL NUMBER	U.S. FILING DATE
1 09/668496.	9/22/2000
2.	
PCT APPLICATIONS DESIGNATING THE U.S.	
PCT APPLICATION NO.	PCT FILING DATE
3.	

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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**John J. Chen**

Inventor's signature:



Date:

11/14/2000

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Residence:

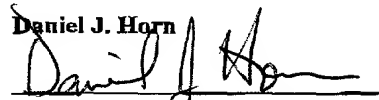
(If different than above)

**Second Inventor**

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>Inventor(s):</b>	<b>John Chen et al</b>
<b>Title:</b>	<b>HYBRID SLEEVE MATERIAL AND STRUCTURE</b>
<b>Filed:</b>	<input type="checkbox"/> concurrently herewith
	<input type="checkbox"/> on _____ and assigned Serial No. _____

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Docket No.: S63.2-9494

**CORRESPONDENCE ADDRESS OF LAW FIRM**

Vidas, Arrett & Steinkraus P.A. would like to make the following  
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Respectfully submitted,

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